## JC10 Rec'd PCT/PTO 07 NOV 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMNT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES		ATTORNEY'S DOCKET NUMBER
DESIGNATED/ELECTED OFFICE (DO/EO/US)		GIV.P30054 U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		New US National Stage Application
INTERNATIONAL APPLICATION NO. PCT/CH2004/000270	INTERNATIONAL FILING DATE 5 May 2004	PRIORITY DATE CLAIMED 9 May 2003
TITLE OF INVENTION Alginate Matrix Particles		
APPLICANT(S) FOR DO/EO/US SOPER, Jon Christian; MOLNAR, Jeff; and VALE, James Michael		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.		
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.		
3. X This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. The US has been elected (Article 31).		
5. X A copy of the International Application as filed (35 U.S.C. 371 (c)(2))		
<ul> <li>a. X is attached hereto (required only if not communicated by the International Bureau).</li> </ul>		
b. has been communicated by the International Bureau.		
c. is not required, as the application was filed in the United States Receiving Office (RO/US).		
6. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).		
a. is attached hereto.		
b. has been previously submitted under 35 U.S.C. 154(d)(4).		
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
a. are attached hereto (required only if not communicated by the International Bureau).		
b. have been communicated by the International Bureau.		
c. have not been made; however, the time limit for making such amendments has NOT expired.		
d. have not been made and will not be made.		
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Executed.		
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:		
11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. X A preliminary amendment.		
14. X An Application Data Sheet under 37 CFR 1.76.		
15. A substitute specification.		
16. A power of attorney and/or change of address letter.		
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.		
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).		
20. X Other items or information: Written Opinion, Search Report, Cited References, PCT Publication, Return Receipt Postcard.		

This collection of information is required by 37 CFR 1.414 and 1.491–1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. GIV.P30054 New US National \$ PCT/CH2004/000270 CALCULATIONS PTO USE ONLY The following fees have been submitted \$300.00 \$ 300 00 l x l 21. 22. X If International preliminary examination report prepared by USPTO and all claims satisfy provisions of \$ 200.00 \$200.00 All other situations | X | Search fee Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO has an \$400.00 \$ 400.00 All other situations . . TOTAL OF 21, 22 and 23 = \$ 900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction thereof RATE **Total Sheets** Extra sheets (round up to a whole number) \$ 0.00 X \$250.00 27 -100 =0 /50 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority \$ 0.00 date (37 CFR 1.492(h)). NUMBER EXTRA RATE \$ **CLAIMS** NUMBER FILED Х \$50.00 \$ 0.00 20 - 20 = 0 Total claims Х \$200.00 Independent claims 0 \$ 0.00 1 - 3 = MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360.00 TOTAL OF ABOVE CALCULATIONS = \$900.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$ \$900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed \$ 0.00 priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an \$ 0.00 appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$900.00 Amount to be \$ refunded: Amount to be \$ charged: X A check in the amount of \$900.00 to cover the above fees is enclosed. a. Please charge my Deposit Account No. 50-3275 in the amount of \$\_\_\_\_\_ to cover the above b. fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to C. Deposit Account No. 50-3275 \_\_\_\_\_. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card d. information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: CUSTOMER NO. 23575 G. Curatolo, Esq. REGISTRATION NUMBER

# CURATOLO SIDOTI DE OT NOV 2003

#### A LEGAL PROFESSIONAL ASSOCIATION Intellectual Property Law

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November 7, 2005

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Certificate of Express Mailing

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as Express Mail - Label No. EV698630469US - "Express Mail Post Office to Addressee" in an envelope with sufficient postage addressed to: Mail Stop PCT, Commissioner for Patents. P.O. Box 1450, Alexandria, VA 22313-1450.

Linda K. Foster
(type or print name of person signing paper)

(signature of person mailing paper)

(date)

#### TRANSMITTAL LETTER FOR PATENT APPLICATION

Express Mail No. EV698630469US

Docket No. GIV P30054

Dear Sirs:

Transmitted herewith is a written application for a patent filed pursuant to 35 USC §371, which is a national stage application of International Application No. PCT/CH2004/000270, filed 5 May 2004, which claims the benefit of GB 0310673.9, filed 9 May 2003, from which applications priority is claimed.

Title:

Alginate Matrix Particles

Inventors:

Jon Christian SOPER, Jeff MOLNAR, and James Michael VALE

Assignee:

Givaudan SA

Chemin de la Parfumerie 5 CH-1214 Vernier, Switzerland

#### Enclosed is:

Transmittal Letter with Certificate of Mailing and Authorization to Charge Deposit Account (2 pages - in duplicate)

Transmittal Letter United States Designated/Elected Office Concerning Filing Under 35USC371 (PTO-1390) (2 pages – in duplicate)

Combined Declaration and Power of Attorney, Executed (3 pages)-signed in counterpart

Specification as Filed (27 pages)

Preliminary Amendment (6 pages)

Application Data Sheet

Copy - International Search Report (5 Pages)

### CURATOLO SIDOTI CO., LPA

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Copy - PCT Publication No. WO 2004/098318

Copy - Written Opinion of the International Searching Authority (6 Pag

Information Disclosure Statement

Form PTO/SB/08A

Copies of Cited References (4)

Check in the amount of \$900.00

Return Receipt Postcard

**Authorization to Charge Deposit Account** 

In the event an additional fee is applicable to the filing of this document and the required fee is not enclosed, or the fee submitted is insufficient, the Commissioner is hereby authorized to charge any fees for Docket No. GIV.P30054 that might be required to effect the filing of these documents to Account No. 50-3275. This authorization is being submitted in duplicate.

Respectfully submitted,

Joseph G. Cwratolo, Esq. (Reg. No. 28,837)

Salvatore A. Sidoti, Esq. (Reg. No. 43,921)

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